

DEPARTMENT OF THE TREASURY – BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

FORMULA AND PROCESS FOR NONBEVERAGE PRODUCT*(See instructions on back - submit in quadruplicate)*

1. DATE

2. FORMULA NUMBER

3. NAME OF APPLICANT

4. ADDRESS *(Same as on special tax stamp)*

5. NAME OF PRODUCT

6. TYPE OF PRODUCT

7. KIND AND PROOF OF SPIRITS USED

8. NUMBER OF DAYS REQUIRED TO COMPLETE PROCESS

9. ELIGIBLE ABSOLUTE ALCOHOL BY VOLUME USED

%

10. ABSOLUTE ALCOHOL BY VOLUME IN FINISHED PRODUCT

%

11. FORMULA AND PROCESS *(Use units of volume, either English or metric, for ingredients that contain alcohol and yields of liquid products; use units of weight, either English or metric, for yields of solid products)**(Use blank space on back if necessary)*

12. SIGNATURE OF APPLICANT OR AUTHORIZED AGENT

PLEASE MAKE NO ENTRY BELOW THIS LINE

13. LAB. NO. SAMPLE

17. ACTION:

14. ALCOHOL BY VOLUME

%

15. ANALYST

16. DATE

INSTRUCTIONS

Before filling out this form, read carefully subpart F of Part 197, Title 27, Code of Federal Regulations.

A. Submit a separate form, in quadruplicate, for each nonbeverage product on which drawback is claimed (except current U.S.P., N.F., and H.P.U.S. medicinal preparations, tinctures, and fluid extracts) made with distilled spirits withdrawn on determination of tax from a domestic distilled spirits plant. Number formulas consecutively, starting with the numeral 1. Consider amended or revised formulas as new formulas and submit Forms 1678 to cover them.

B. State in item 6 whether the product is a medicine, medicinal preparation, flavor, flavoring extract, food adjunct, or food product.

C. State in item 7 the kind and proof of distilled spirits. For example: Alcohol - 190 proof; rum - 158 proof; brandy - 152 proof.

D. State in item 9 the percent of new alcohol used in manufacturing and standardizing the product based on the yield of finished preparation. This percentage includes alcohol contained in intermediate products on which drawback of tax has not been claimed.

E. State in item 10 the actual percentage of absolute alcohol by volume in the finished product. For example: The formula and process may call for 20 percent by volume (and this percentage is used in manufacturing the product), but the finished product may contain only 15 percent absolute alcohol by volume due to manufacturing losses; in this case, report the 15 percent in item 10.

F. Under item 11, list the name, quantity, and alcohol content, if any, of each ingredient used. State the quantity of each ingredient containing alcohol and yield of liquid products in units of volume, either English or metric. Non-alcoholic ingredients may be given in units of vol-

ume or weight, either English or metric. Give the drawback formula number of alcoholic ingredients if self-manufactured. If purchased, please so specify. Example = Imitation vanilla flavor:

Vanilla extract 10-fold (35% alcohol)
Purchased from X Company 2 ml.
Vanilla flavor 20-fold (20% alcohol)
(Our Form 1678 Formula #422) 1 fl. oz.
Vanillin 1 oz. av.
Alcohol - 190 proof 0.25 gallon
Water Q.S. 1 gallon

G. Identify any colors used by their official Federal Food and Drug Administration designation (i.e., caramel, FD&C Yellow No. 5 - Certified lot No. Y-0000, etc.).

H. In item 11, briefly describe the manufacturing process (i.e., simple mixture, maceration, percolation, etc.). Show the approximate loss of distilled spirits, if any, during processing (i.e., filtration, evaporation, etc.) and indicate what quantity of alcohol, if any, is recovered.

I. The applicant or his authorized agent must sign all copies in the space provided and forward them to the Director, Bureau of Alcohol, Tobacco and Firearms, Washington, D.C. 20226.

J. Submit commercial labels or facsimiles, together with any other available supporting data for all bitters (flavoring and medicinal) and for any other product not readily identified under the classifications listed in Instruction B.

K. Additional copies of Form 1678 (5530.5) may be obtained from the office of the Regional Regulatory Administrator, Bureau of Alcohol, Tobacco and Firearms, for the region in which the applicant is located.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1980. This form is used by ATF to determine if the product is nonbeverage in character so that the manufacturer may file for drawback of taxes. The information required is to obtain a benefit.